

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

EXPRESS MAIL LABEL NO.  
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11 DECEMBER 2000ATTORNEY'S DOCKET NO.  
A33803 PCT USA

U.S. APPLICATION NO.

09/719359

INTERNATIONAL APPLICATION NO.  
PCT/JP00/02918INTERNATIONAL FILING DATE  
02 MAY 2000PRIORITY DATE CLAIMED  
10 MAY 1999

## TITLE OF INVENTION

PROCESS FOR PREPARATION OF PHENOL-MODIFIED ROSIN ESTER, PHENOL-MODIFIED ROSIN ESTER AND USE THEREOF

## APPLICANT(S) FOR DO/EO/US

Yoshikazu Sano and Itsuki Toma

Applicant herewith submits to the United States Designated /Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

## Verification of Translation

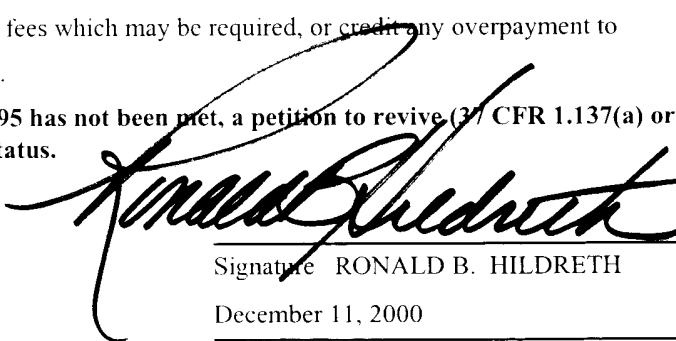
(including 32 pages specification, 4 pages claims and 1 page abstract)

First page of WO00/68289

PCT/IB/308

International Search Report

PCT Request

INTERNATIONAL APPLICATION NO. <b>PCT/JP00/200009/719359</b>				INTERNATIONAL FILING DATE <b>MAY 2000</b>		PRIORITY DATE CLAIMED <b>MAY 1999</b>	
17. <input checked="" type="checkbox"/> The following fees are submitted:						<b>CALCULATIONS</b> <small>PTO USE ONLY</small>	
<b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b>  Neither international preliminary examination fee (37 CFR 1.482)  Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO (1.492(a)(3)) ..... \$1,000.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO (1.492(a)(5)) ..... \$860.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO (1.492(a)(2)) ..... \$710.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) (1.492(a)(1)) ..... \$690.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$ 100.00							
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>						\$860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492)(e)).						\$	
<b>Claims</b>	<b>Number Filed</b>	<b>Number Extra</b>	<b>Rate</b>	\$			
Total Claims	17 -20=		X \$ 18.00	\$			
Independent Claims	1 -3=		X \$ 80.00	\$			
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$			
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$860.00			
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$			
<b>SUBTOTAL =</b>				\$860.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$			
<b>TOTAL NATIONAL FEE =</b>				\$860.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$ 40.00			
<b>TOTAL FEES ENCLOSED =</b>				\$900.00			
				<b>Amt. refunded</b>	\$		
				<b>charged</b>	\$		
a. <input checked="" type="checkbox"/> A check in the amount of \$ 860 & 40 _____ to cover the above fees is enclosed.							
b. <input type="checkbox"/> Please charge our Deposit Account No. <u>02-4377</u> in amount of \$ _____ to cover the above fees. A copy of this sheet is enclosed.							
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4377</u> . A copy of this sheet is enclosed.							
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>							
SEND ALL CORRESPONDENCE TO:							
BAKER BOTTS L.L.P. 30 Rockefeller Plaza New York, New York 10112-4498							
				 Signature RONALD B. HILDRETH			
				December 11, 2000  Date			
				19,498  Registration No.			